

# A Parametric CPS to Sprinkle CIC with Classical Reasoning

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Dependent Type Theory is awesome!

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The pinnacle of the Curry-Howard correspondence:

- You can program with it  
*“A pure functional programming with crazily precise types.”*
- You can prove with it  
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*“A incredibly rich constructive logic with built-in computation.”*
- Everything at the same time!  
*“Prove your programs! Program your proofs!”*

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Lots of actual, serious, big developments.

- CompCert, VST, RustBelt...
- Four Colour Theorem, Feit-Thompson...

# A Classical Problem

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Both a theoretical and practical limitation!

- CIC is deadcore intuitionistic
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- Most non-logicians don't care about this fuss (both CS and math...)



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- Requires that you write your statements in the right way
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It would be nice to have a classical type theory...

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**Pro:** Simple, local, works in Coq, be my guest.

## Cons:

- Axioms are dangerous, you have to show consistency externally  
*Classical logic holds in the well-known Set model, blah-blah...*
- Non-trivial interactions: e.g. classical CIC implies proof-irrelevance.  
*Classical logic is incompatible with univalence!* (Your mileage may vary.)
- **The logic does not compute anymore, axioms block reduction...**

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$$\text{callcc} : ((A \rightarrow B) \rightarrow A) \rightarrow A$$

Essentially allows to reify context evaluation.

$$E[\text{callcc } M] \equiv_{\beta} \text{callcc } (\lambda k. E[M (E \circ k)])$$

The type of callcc is Peirce's law, the minimal logic equivalent of EM.

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**Cons:**

- Needs a whole new proof assistant implementation.  
*Reminder: Coq is a 33-year old project.*
- Changes the global meaning of logical connectives.  
*What does  $\Sigma x : A. B$  means?*



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*What does  $\Sigma x : A. B$  means?*

- ... and it changes it so much that it also proves False!!!

*Pro: At least my proofs are going to be easier.*

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Dependent elimination + Proof-relevance + callcc = TROUBLE.

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Essentially:

- callcc allows to build booleans that are neither true nor false

$$b := \text{if em CIC\_consistency then true else false}$$

- Dependent elimination is oblivious of this fact

$$\prod P : \mathbb{B} \rightarrow \square. P \text{ true} \rightarrow P \text{ false} \rightarrow \prod b : \mathbb{B}. P b$$

- Modern avatar of “Axiom of choice in classical logic is fishy”.

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Buzzword: *linearity*. (Little to do with syntactic linearity BTW.)

$$\frac{\Gamma \vdash M : \mathbb{B} \quad \Gamma \vdash N_1 : P \text{ true} \quad \Gamma \vdash N_2 : P \text{ false} \quad P \text{ linear in } b}{\Gamma \vdash \text{if } M \text{ then } N_1 \text{ else } N_2 : P\{b := M\}}$$

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- Works for CBN forcing
- Works for our new weaning translation
- Inspired by classical realizability
- Prevents Herbelin's particular paradox
- **Unluckily, a consistent model of callcc is still missing!**

# In This Talk: Program Translations

## Observations:

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OTOH, a well-known program translation implementing `callcc`.

Continuation-passing style!



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OTOH, a well-known program translation implementing `callcc`.

Continuation-passing style!

We propose in this talk a much less grand solution than linearity.

The first *cheating* CPS translation of CIC.

# Syntactic Models, a.k.a. Program Translations of CIC

Define  $[\cdot]$  on the syntax and derive the type interpretation  $\llbracket \cdot \rrbracket$  from it s.t.

$$\vdash_{\text{CIC}^+} M : A \quad \text{implies} \quad \vdash_{\text{CIC}} [M] : \llbracket A \rrbracket$$

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Obviously, that's subtle.

- The correctness of  $[\cdot]$  lies in the meta (Darn, Gödel!)
- The translation must preserve typing (Not easy)
- In particular, it must preserve conversion (Argh!)

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Yet, a lot of nice consequences.

- Does not require non-type-theoretical foundations (*monism*)
- Can be implemented in your favourite proof assistant
- Easy to show (relative) consistency, look at  $\llbracket \text{False} \rrbracket$
- Easier to understand computationally

CIC is call-by-name by construction.

That's because of the  $\beta$ -equivalence used in conversion.

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We have to use a CBN CPS translation.

Let's stick to a variant close to the hardware: Lafont-Streicher-Reus CPS.

(This is LOLA after all.)

## Quick recap

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- ② Inductively define the type of stacks  $\mathbb{C}(A)$  and witnesses  $\mathbb{W}(A)$ .

$$\begin{aligned}\mathbb{W}(A) &:= \mathbb{C}(A) \rightarrow \perp\!\!\!\perp \\ \mathbb{C}(\alpha) &:= \alpha \rightarrow \perp\!\!\!\perp \\ \mathbb{C}(A \rightarrow B) &:= \mathbb{W}(A) \times \mathbb{C}(B)\end{aligned}$$

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- ③ Define the term translation  $[\cdot]$  on the syntax s.t.

$$\Gamma \vdash M : A \quad \rightsquigarrow \quad \mathbb{W}(\Gamma) \vdash [M] : \mathbb{W}(A)$$

# This Is LOLA After All

Here is the implementation:

$$\begin{aligned}[x] &:= x \\ [\lambda x. M] &:= \lambda(x, \omega). [M] \omega \\ [M N] &:= \lambda\omega. [M] (N, \omega)\end{aligned}$$

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Holy celestial teapot! It implements the Krivine machine!

$$\begin{aligned}\langle \lambda x. M \mid N \cdot \pi \rangle &\rightarrow \langle M\{x := N\} \mid \pi \rangle \\ \langle M N \mid \pi \rangle &\rightarrow \langle M \mid N \cdot \pi \rangle\end{aligned}$$

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Plus there is a proof of:

$$\mathbb{W}(\left(\left(\left(A \rightarrow B\right) \rightarrow A\right) \rightarrow A\right)$$

mimicking what the classical KAM does.

# CICking it out

So far so good, we have a syntactic model for simply-typed  $\lambda$ -calculus.

Sketchy roadmap of what we have to do to scale LSR to CIC:

- ① Acknowledging dependent functions
- ② Implementing types-as-terms
- ③ Implementing dependent elimination

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Spoiler: Turns out 1. is trivial, 2. and 3. impossible as-is.

# LSR and dependency

Owing to the low-level nature of LSR, dependency is trivial.

$$\begin{aligned}\mathbb{W}(A) &:= \mathbb{C}(A) \rightarrow \perp \\ \mathbb{C}(A \rightarrow B) &:= \mathbb{W}(A) \times \mathbb{C}(B) \\ \mathbb{C}(\Pi x : A. B) &:= \Sigma x : \mathbb{W}(A). \mathbb{C}(B)\end{aligned}$$

Remark in particular that the arrow case is a degenerate variant.

It means it is easy to give a LSR of  $\lambda\Pi$  s.t.

$$\Gamma \vdash M : A \rightsquigarrow \mathbb{W}(\Gamma) \vdash [M]\mathbb{W}(A)$$



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Note: not as easy for other CBN CPS! So LSR is good for dependency.

# LSR and inductive types

In LSR, inductive types are translated free algebras, e.g.

$$\begin{aligned} \mathbf{C}(\mathbb{B}) &:= \mathbb{B} \rightarrow \perp\!\!\!\perp \\ \mathbf{W}(\mathbb{B}) &:= (\mathbb{B} \rightarrow \perp\!\!\!\perp) \rightarrow \perp\!\!\!\perp \end{aligned}$$

Constructors are returns, elimination is continuation-passing.

$$\begin{aligned} [\mathbf{true}] &:= \lambda\omega.\omega \mathbf{true} \\ [\mathbf{false}] &:= \lambda\omega.\omega \mathbf{false} \\ [\mathbf{if } M \mathbf{ then } N_1 \mathbf{ else } N_2] &:= \lambda\omega.[M] (\lambda b.\mathbf{if } b \mathbf{ then } [N_1] \omega \mathbf{ else } [N_2] \omega) \end{aligned}$$

# LSR and inductive types: a failure

Alas, no hope to implement dependent elimination!

$$\prod P : \mathbb{B} \rightarrow \square. P \text{ true} \rightarrow P \text{ false} \rightarrow \prod b : \mathbb{B}. P b$$

$\rightsquigarrow$  For a meta-theoretical reason:

$\mathbb{W}(\mathbb{B}) := (\mathbb{B} \rightarrow \perp\!\!\!\perp) \rightarrow \perp\!\!\!\perp$ , so depending on the choice of  $\perp\!\!\!\perp$  there are non-standard booleans.

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In the typing of `if`, the type of a dependent  $\omega$  would be wrong.

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No way to recover an actual boolean from a classical boolean.

# LSR and universes: failure again

Because  $\vdash_{\text{CIC}} \square_i : \square_{i+1}$ , we must define  $\mathbb{C}(\square_i)$ .

Universes are somehow free algebras, so take  $\mathbb{C}(\square_i) := \square_i \rightarrow \perp\!\!\!\perp$ .

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In particular,  $\mathbb{W}(\square_i) := (\square_i \rightarrow \perp) \rightarrow \perp$ .

Now, how to implement the meta-function  $\text{El} : \mathbb{W}(\square) \rightsquigarrow \square$ , needed for

$$\frac{\Gamma \vdash A : \square_i}{\vdash \Gamma, A : \square_i}$$

Actually, you can't. Just as for booleans, double-negation lost information.

No way to recover an actual type from a classical type either.

# A Dire Situation

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Not totally unexpected from a CPS translation...

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**Let's cheat!**

Let's make the CPS intuitionistic again by using..

**Parametricity.**

Or equivalently, let's do a bit of...

**Intuitionistic realizability.**

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let's rather do

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where

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We will retrieve the information in  $\cdot \in A$  rather than in  $\mathbb{W}(A)$ !

$M \in A$  is the parametricity (resp. realizability) relation of  $A$ .

Morally, our translation is

- Intuitionistic Realizability (Kleene-style?)
- ... where realizers are Lafont-Streicher-Reus CPS-ified terms
- ... and where the realizability relation is internal to CIC

A fancy mix... Is that a known technique?

# The Grand Scheme II

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A fancy mix... Is that a known technique?

Has it a use per se? Can it be used for type-preserving compilation?

# A Bit of Detail

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We define the realizability condition as follows:

$$\frac{A \quad \mathbb{C}(A) \quad (M : \mathbb{C}(A) \rightarrow \perp) \in A}{\Pi x : A. B \quad \Sigma x : \llbracket A \rrbracket. \mathbb{C}(B) \quad \Pi x : \llbracket A \rrbracket. (\lambda \omega. M(x, \omega)) \in B}$$



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$$\square \quad \square \rightarrow \perp \quad \Sigma X : \square. (M = \mathbf{ret} \ X) \times ((M \rightarrow \perp) \rightarrow \square)$$

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Technically,  $[A]$ ,  $\mathbb{C}(A)$  and  $M \in A$  are macros derived from  $[A]_\varepsilon$ .

# A Few Isomorphisms

This translation is very intuitionistic, as it is somehow the identity.

Assuming  $\perp\!\!\!\perp$  is hProp:

$$\begin{array}{ccc} \llbracket \Pi(x : A). B \rrbracket & \cong & \Pi(x : \llbracket A \rrbracket). \llbracket B \rrbracket \\ \llbracket \mathbb{B} \rrbracket & \cong & \mathbb{B} \\ \llbracket \text{empty} \rrbracket & \cong & \text{empty} \end{array}$$

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In particular, it preserves consistency!

The only difference (due to parametricity):

$$\llbracket \square \rrbracket \not\cong \square$$

Interestingly, this translation can be carried in CIC.

If  $\Gamma \vdash_{\text{CIC}} M : A$  then  $\llbracket \Gamma \rrbracket \vdash_{\text{CIC}} \llbracket M \rrbracket^! : \llbracket A \rrbracket$

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So it is possible to provide this translation as Coq plugin!  
For now only a hand-written shallow embedding.

<https://github.com/CoqHott/coq-effects/blob/master/theories/misc/CPS.v>



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What did we gain? Not a lot of things...

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That said, we have new statements in our theory.

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The modality just drops the parametric proof of the underlying type.

$$\llbracket \langle A \rangle \rrbracket := \Sigma x : \mathbf{W}(A). \mathbf{unit} \cong \mathbf{W}(A)$$

As such, it allows to work with the raw LSR translation.

# Moar Principles

This type constructor admits a lot of reasoning principles.

- It has a return:

$$\eta : \Pi(A : \square). A \rightarrow \langle A \rangle$$

- It has (a weak form of) choice:

$$\Pi(x : A). \langle B \rangle \cong \langle \Pi(x : A). B \rangle$$

- It has a form of classical reasoning:

$$\text{cc} : \Pi(A B : \square). ((A \rightarrow \langle B \rangle) \rightarrow \langle A \rangle) \rightarrow \langle A \rangle$$

- It is **not** functorial.

$$A \rightarrow B \not\vdash \langle A \rangle \rightarrow \langle B \rangle$$

- In particular, it is **not** the double negation modality.

# Give Me My Propositional Logic Back

Piggy-backing on LSR, we get an embedding of propositional logic.

If  $\vdash_{LJ} A$  then  $\vdash_{CIC+} \langle A \rangle$ .

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$$\text{If } \vdash_{\text{LJ}} A \text{ then } \vdash_{\text{CIC}^+} \langle A \rangle.$$

Furthermore, the propositional logic combinators compute. E.g.

$$\text{if}^{\langle \cdot \rangle} : \langle \mathbb{B} \rangle \rightarrow \langle A \rangle \rightarrow \langle A \rangle \rightarrow \langle A \rangle$$

$$\text{if}^{\langle \cdot \rangle} (\eta \ \mathbb{B} \ \text{true}) \ N_1 \ N_2 \equiv_{\beta} \ N_1$$

This is all because the LSR CPS is well-behaved w.r.t.  $\beta$ -reduction.

Obviously no dependent elimination in sight. (Because LSR.)



For particular values of  $\perp$ , we get more. Typically, for  $\perp := \text{empty}$ .

- The modality is consistent.

$$\langle \text{empty} \rangle \rightarrow \text{empty}$$

- The modality has excluded middle.

$$\text{em} : \Pi(A : \square). \langle A + \neg A \rangle$$

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- The first typed CPS of CIC!
- Although we cheat badly.
- An intricate mix of techniques.
- Implementable in Coq.
- A modality  $\langle \cdot \rangle$  introducing classical logic.
- Preserving the propositional fragment, not dependent elimination.

Again, what can we do with that?



Thanks for your attention.